



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RB 125-RI

Applicants : HORWATH et al.
Serial No. : 09/876,348
Filed : June 7, 2001
For : NUCLEIC ACID SEQUENCES
ENCODING TYPE III TENEBRIO
ANTIFREEZE PROTEINS AND METHOD
FOR ASSAYING ACTIVITY

Group Art Unit:
Examiner :

Date: October 29, 2002

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Box DD

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure set forth in 37 C.F.R.
§ 1.56 and § 1.97(c), Applicants request that the art cited in the
annexed form PTO-1449 be made of record in the above-identified
application. Copies of the cited references A thru DD are
enclosed.

Respectfully submitted,
Attorney for Applicants

By:
Dara L. Onofrio
Reg. No. 34,889
1133 Broadway - Suite 1600
New York, N.Y. 10010
(212) 871-6112

RECEIVED

NOV 05 2002

TECH CENTER 1600/2900

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal
Service on the date shown below with sufficient postage as first class mail in an envelope addressed
to the: Assistant Commissioner for Patents,
Washington, D.C. 20231.

Dated: October 29, 2002

Dara L. Onofrio

Person mailing paper

Signature of person mailing paper



Substitute for form 1448/PTO		Application Number	09/876,348	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	June 7, 2001	
		First Named Inventor	Kathleen L. Horwath et al.	
		Art Unit		
		Examiner Name		
Sheet	1	1	Attorney Docket Number	RB 125 - RI

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known)	Date of Publication of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A	6,174,550	1/16/01	Fletcher et al.	class 426/34
	B	6,008,016	12/28/99	Walker et al.	435/69.1
	C	5,972,629	10/26/99	Niman	435/7.23
	D	5,965,705	10/12/99	Thomashow et al.	530/350
	E	5,942,150	8/24/99	Heuer et al.	252/70
	F	5,932,697	8/3/99	Caceci et al.	530/350
	G	5,928,877	7/27/99	Lusk et al.	435/7.6
	H	5,925,540	7/20/99	Caceci et al.	435/69.1
	I	5,892,009	4/6/99	Thomashow et al.	536/22.1
	J	5,891,859	4/6/99	Thomashow et al.	514/44
	K	5,869,092	2/9/99	Hays et al.	424/450
	L	5,852,172	12/22/98	Griffith	530/379
	M	5,849,537	12/15/98	Tripp et al.	435/69.1
	N	5,837,545	11/17/98	Guy et al.	435/419
	O	5,676,985	10/14/97	Fletcher et al.	426/36
	P	5,654,279	8/5/97	Rubinsky et al.	514/21
	Q	5,633,451	5/27/97	Duman	800/205
	R	5,627,051	5/6/97	Duman	426/565
	S	5,620,732	4/15/97	Clemmings et al.	420/565
	T	5,545,808	8/13/96	Hew et al.	423/679
	U	5,358,931	10/25/94	Rubinsky et al.	514/2
	V	5,356,816	12/21/99	Thomashow	514/2
	W	5,296,462	3/22/94	Thomashow	514/2
	X	5,278,284	1/11/94	Lusk et al.	530/365
	Y	5,118,792	6/2/92	Warren et al.	530/350
	Z	4,834,899	5/30/89	Klevecz	252/70
	AA	4,601,842	7/22/86	Caple et al.	252/70
	BB	4,484,409	11/27/84	Caple et al.	47/2
	CC	4,161,084	7/17/79	Amy et al.	47/2
	DD	4,045,910	9/6/77	Amy et al.	47/2

FOREIGN PATENT DOCUMENTS					
Examiner Initials	Cite No.	Foreign Patent Document Country Code - Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

Examiner Signature	<i>Ape Robinson</i>	Date Considered	9/3/03
-----------------------	---------------------	-----------------	--------

RECEIVED

NOV 05 2002

TECH CENTER 1600/2000